Revised diagnostic criteria for neuromyelitis optica

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Abstract—Background: The authors previously proposed diagnostic criteria for neuromyelitis optica (NMO) that facilitate its distinction from prototypic multiple sclerosis (MS). However, some patients with otherwise typical NMO have additional symptoms not attributable to optic nerve or spinal cord inflammation or have MS-like brain MRI lesions. Furthermore, some patients are misclassified as NMO by the authors’ earlier proposed criteria despite having a subsequent course indistinguishable from prototypic MS. A serum autoantibody marker, NMO-IgG, is highly specific for NMO. The authors propose revised NMO diagnostic criteria that incorporate NMO-IgG status.

Methods: Using final clinical diagnosis (NMO or MS) as the reference standard, the authors calculated sensitivity and specificity for each criterion and various combinations using a sample of 96 patients with NMO and 33 with MS. The authors used likelihood ratios and logistic regression analysis to develop the most practical and informative diagnostic model.

Results: Fourteen patients with NMO (14.6%) had extra-optic-spinal CNS symptoms. NMO-IgG seropositivity was 76% sensitive and 94% specific for NMO. The best diagnostic combination was 99% sensitive and 90% specific for NMO and consisted of at least two of three elements: longitudinally extensive cord lesion, onset brain MRI nondiagnostic for MS, or NMO-IgG seropositivity.

Conclusions: The authors propose revised diagnostic criteria for definite neuromyelitis optica (NMO) that require optic neuritis, myelitis, and at least two of three supportive criteria: MRI evidence of a contiguous spinal cord lesion 3 or more segments in length, onset brain MRI nondiagnostic for multiple sclerosis, or NMO-IgG seropositivity. CNS involvement beyond the optic nerves and spinal cord is compatible with NMO.

Neuromyelitis optica (NMO; Devic syndrome) is a clinically defined, severe CNS demyelinating syndrome characterized by optic neuritis (ON) and acute myelitis; the presence of CNS symptoms outside the optic nerves and spinal cord has until recently excluded the diagnosis. Traditionally, the term NMO was applied to patients who experienced a monophasic event consisting of bilateral simultaneous optic neuritis and acute myelitis. The NMO spectrum is now recognized to typically evolve as a relapsing disorder that also includes patients with unilateral ON and those with index events of ON and myelitis occurring weeks or even years apart.

Early and accurate diagnosis is important because NMO carries a poorer prognosis than MS and generally accepted treatment approaches differ. In 1999, we proposed NMO diagnostic criteria with three absolute requirements: ON, acute myelitis, and no symptoms implicating other CNS regions. To enhance specificity, fulfillment of at least one of three major supportive criteria was required: 1) brain MRI at disease onset is normal or does not fulfill MS imaging criteria; 2) spinal cord MRI shows a lesion extending over ≥3 vertebral segments; and 3) CSF reveals ≥50 WBC/mm³ or ≥5 neutrophils/mm³. Alternatively, fulfilling two of three minor supportive criteria (bilateral ON, severe residual visual loss, or severe fixed post-attack weakness) suffices. We derived the criteria empirically and suggested that they be validated and may require revision.

International experience using the 1999 diagnostic criteria generally concurs with ours. However, the criteria have limitations. They fail to capture patients with a disease course otherwise highly compatible with NMO but whose neurologic symptoms or signs implicate CNS regions outside the optic nerves and spinal cord or whose brain MRI reveals lesions that may meet MS imaging criteria. Therefore, the full spectrum of the disease may be underappreciated. On the other hand, occasional MS patients...
with ON and an attack of partial myelitis may have an initial negative brain MRI, therefore fulfilling NMO criteria at least early in their clinical course.

Substantial evidence, including clinical, laboratory, neuroimaging, and immunopathologic data, suggests that NMO is distinct from MS; however, no diagnostic gold standard has been established. An objective biomarker would enhance diagnostic certainty and definition of the NMO disease spectrum. The serum autoantibody NMO-IgG, which targets aquaporin-4, is a good candidate because it is >90% specific for NMO in patients presenting with an optic-spinal syndrome and is not detected in patients specific for NMO in patients presenting with a single attack of longitudinally extensive myelitis.

We hypothesized that individual components of current NMO criteria differ in their diagnostic properties and that a quantitative evaluation of these criteria, with incorporation of the NMO-IgG disease marker, would allow formulation of optimal criteria to discriminate NMO from MS.

Methods. Patients. We evaluated the characteristics of 129 patients ascertained through the MS centers at Mayo Clinic sites in Rochester, MN, and Scottsdale, AZ, and tested for NMO-IgG. Typically, these patients had attacks of optic neuritis, myelitis, and had a normal MRI scan of the head, or one that was deemed to show minimal findings. We maintain a central database of demographic, clinical, imaging, and laboratory data from patients who present with syndromes compatible with NMO, transverse myelitis, or relapsing myelitis. Data are entered by one of the study neurologists and validated independently, which helps to ensure diagnostic consistency with our earlier cases. We recorded results as normal, abnormal but not meeting NMO criteria, or meeting NMO criteria. The brain MRI at disease onset was used if available; however, if a later scan was available and was negative, we assumed the onset scan also was negative. Spinal cord MRI studies were similarly reviewed and results were recorded as normal, abnormal with a T2-weighted cord lesion extending over three or more vertebral segments, or abnormal with a smaller lesion or confluent lesions not suggestive of NMO. CSF leukocyte count and differential data were categorized as follows based on the 1999 criteria: WBC greater than 50/mm³ or not; neutrophil count greater than 50/mm³ or not. Serologic data, beyond NMO-IgG status, included the detection of one or more serum autoantibodies (antinuclear, extractable nuclear antigen, double-stranded DNA, rheumatoid factor, cardiolipin, thyroid or intrinsic factor antibodies). When data were not available, or the test not performed, that element was removed from analysis for that patient. We required valid data for at least two of the following supportive features: brain MRI, spinal cord MRI, and CSF results.

Evaluation of diagnostic properties. We compared NMO and MS patients with respect to demographic, clinical, and follow-up data as well as the frequency of meeting each individual diagnostic criterion; differences were determined using χ², Fisher exact, or t tests as appropriate (alpha = 0.05). We calculated sensitivity and specificity estimates for each criterion using the clinical diagnosis of NMO as the reference standard. We then evaluated the relative clinical utility of each test by comparing likelihood ratios (LR) for positive (sensitivity divided by 1 – specificity) and negative (1 – sensitivity divided by specificity) test results. For each estimate, 95% CIs were calculated. LRs are more useful than sensitivity and specificity because they may be used to adapt the results of a study to an individual patient using the principles of Bayes theorem and determination of pretest and post-test odds of disease presence. LR of >10 for a positive test result or <0.1 for a negative test result are expected to yield a conclusive change in the post-test odds of disease presence.

We used the LR data to guide construction of new diagnostic models, each consisting of two or three of the most accurate individual variables. We then evaluated the models in several ways. First, an LR was calculated LR and associated CI for each model. Second, we plotted receiver operator characteristic (ROC) curves for each model with sensitivity of a positive result on the y-axis and (1 – specificity) on the x-axis. The area under each ROC curve (AUC) was then calculated as a measure of the overall discrimination that each variable or combination of variables can provide between NMO and MS. AUC values may be calculated for different diagnostic tests and then compared to one another. An AUC of 1 represents a perfectly discriminatory test; an area of 0.5 represents a test that discriminates no better than random chance. Finally, we used logistic regression methods to estimate ORs for each model. The reference standard diagnosis was the dependent variable (NMO = 1; MS = 0) and individual variables or models were entered as independent variables (positive test result = 1; negative result = 0). Goodness-of-fit was evaluated using the Hosmer-Lemeshow test.

Results. Demographic and clinical features of the sample are summarized in table 1. Women outnumbered men in both the NMO and MS groups but the NMO cohort was more likely to be nonwhite and older than 35 years at disease onset. Eighty-one (84.4%) of the 96 patients with NMO had an established relapsing disease course (at least one additional attack of either ON or myelitis after experiencing index attacks of initial ON or myelitis) at last follow-up (median 35 months; IQR = 4 to 48 months). More relapses occurred in patients with NMO than in pa-
Table 1  Demographic and clinical features of patients with NMO and MS

<table>
<thead>
<tr>
<th>Characteristic</th>
<th>Valid n</th>
<th>NMO</th>
<th>MS</th>
<th>p Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>N (total)</td>
<td>129</td>
<td>96</td>
<td>33</td>
<td></td>
</tr>
<tr>
<td>Female, n (%)</td>
<td>127</td>
<td>86/94 (85.1)</td>
<td>26/33 (78.9)</td>
<td>0.401</td>
</tr>
<tr>
<td>Non-caucasian, n (%)</td>
<td>119</td>
<td>35/91 (38.5)</td>
<td>22/88 (25.3)</td>
<td>0.002</td>
</tr>
<tr>
<td>Mean age of onset, y (SD)</td>
<td>123</td>
<td>37.8 (18.1)</td>
<td>32.4 (8.3)</td>
<td>0.113</td>
</tr>
<tr>
<td>Age onset &gt; 35.0 y</td>
<td>123</td>
<td>56/92 (60.9)</td>
<td>10/31 (32.3)</td>
<td>0.006</td>
</tr>
<tr>
<td>Mean ON events (SD)</td>
<td>128</td>
<td>2.1 (1.5)</td>
<td>1.4 (1.4)</td>
<td>0.033</td>
</tr>
<tr>
<td>Mean myelitis events (SD)</td>
<td>128</td>
<td>3.4 (3.0)</td>
<td>1.8 (1.6)</td>
<td>0.006</td>
</tr>
<tr>
<td>Median follow-up, mo (IQR)</td>
<td>121</td>
<td>37 (21–85)</td>
<td>42 (18–103)</td>
<td>0.862</td>
</tr>
<tr>
<td>History of autoimmunity</td>
<td>112</td>
<td>23/33 (27.7)</td>
<td>9/29 (31.0)</td>
<td>0.733</td>
</tr>
<tr>
<td>Seropositivity for non-organ-specific autoantibodies</td>
<td>110</td>
<td>41/82 (50.0)</td>
<td>12/28 (42.9)</td>
<td>0.514</td>
</tr>
<tr>
<td>Family Hx autoimmuneity</td>
<td>88</td>
<td>24/38 (63.2)</td>
<td>9/26 (34.6)</td>
<td>0.025</td>
</tr>
<tr>
<td>Family Hx demyelinating dis.</td>
<td>99</td>
<td>97/3 (12.3)</td>
<td>1/26 (3.8)</td>
<td>0.284</td>
</tr>
</tbody>
</table>

NMO = neuromyelitis optica; MS = multiple sclerosis; n = number of patients with validated data for each characteristic; Hx = history; ON = optic neuritis; IQR = interquartile range.

Table 2  Diagnostic accuracy for NMO diagnosis of models combining clinical criteria and NMO-IgG status*

<table>
<thead>
<tr>
<th>Model</th>
<th>Evaluable n (%)</th>
<th>NMO</th>
<th>MS</th>
<th>Sensitivity</th>
<th>Specificity</th>
<th>LR(+)</th>
<th>LR(−)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Model 1</td>
<td></td>
<td></td>
<td></td>
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<tr>
<td>Cord lesion ≥3 segments AND onset MRI brain nondiagnostic</td>
<td>111/129 (86.1)</td>
<td>79/84 (94.1)</td>
<td>127 (3.7)</td>
<td>94 (89–99)</td>
<td>96 (89–100)</td>
<td>25.4 (3.71–174)</td>
<td>0.06 (0.03–0.15)</td>
</tr>
<tr>
<td>Model 2</td>
<td></td>
<td></td>
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<tr>
<td>NMO-IgG positive AND onset MRI brain nondiagnostic</td>
<td>117/129 (90.7)</td>
<td>61/84 (72.6)</td>
<td>233 (6.1)</td>
<td>73 (63–82)</td>
<td>94 (85–100)</td>
<td>12.0 (3.11–46.2)</td>
<td>0.57 (0.44–0.71)</td>
</tr>
<tr>
<td>Model 3</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
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</tr>
<tr>
<td>Cord lesion ≥3 segments OR NMO-IgG positive</td>
<td>129 (100)</td>
<td>96/96</td>
<td>7/33 (21.2)</td>
<td>100</td>
<td>79 (65–93)</td>
<td>4.71 (2.45–9.10)</td>
<td>0</td>
</tr>
<tr>
<td>Model 4</td>
<td></td>
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<tr>
<td>≥3 segments AND NMO-IgG positive</td>
<td>114/129 (88.4)</td>
<td>63/86 (73.3)</td>
<td>0/28</td>
<td>73 (64–83)</td>
<td>100</td>
<td>∞</td>
<td>0.27 (0.19–0.38)</td>
</tr>
<tr>
<td>Model 5</td>
<td></td>
<td></td>
<td></td>
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<td></td>
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<tr>
<td>2 of 3 criteria</td>
<td></td>
<td></td>
<td></td>
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<td></td>
<td></td>
</tr>
<tr>
<td>Cord lesion ≥3 segments</td>
<td>121/129 (93.8)</td>
<td>89/90 (98.9)</td>
<td>3/31 (9.7)</td>
<td>99 (97–100)</td>
<td>90 (80–100)</td>
<td>10.2 (3.49–30.0)</td>
<td>0.01 (0.002–0.09)</td>
</tr>
<tr>
<td>Onset MRI brain nondiagnostic</td>
<td></td>
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<tr>
<td>NMO-IgG positive</td>
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</tbody>
</table>

* Not all evaluated models are shown.

Parentheses denote percent for proportion or 95% CI for point estimates of sensitivity, specificity, and likelihood ratios (LR).

NMO = neuromyelitis optica; MS = multiple sclerosis.
Table 3 Proposed diagnostic criteria for neuromyelitis optica (NMO)

<table>
<thead>
<tr>
<th>NMO Diagnosis</th>
</tr>
</thead>
<tbody>
<tr>
<td>Definite NMO</td>
</tr>
<tr>
<td>Optic neuritis</td>
</tr>
<tr>
<td>Acute myelitis</td>
</tr>
</tbody>
</table>

At least two of three supportive criteria

1. Contiguous spinal cord MRI lesion extending over ≥3 vertebral segments
2. Brain MRI not meeting diagnostic criteria for multiple sclerosis
3. NMO-IgG seropositive status

Selection of criteria for NMO diagnosis

Although we confirmed that these features are more suggestive of NMO than MS, the best model (one of the three supportive criteria plus either severe, fixed, post-attack motor weakness or CSF pleocytosis >50 WBC/mm³ or neutrophils >5/mm³) achieved only 87% sensitivity and 85% specificity.

Discussion

We propose revised NMO diagnostic criteria that remove the absolute restriction on CNS involvement beyond the optic nerves and spinal cord and emphasize the specificity of longitudinally extensive spinal cord lesions and NMO-IgG seropositivity (table 3). Although brain MRI findings are generally either negative or nonspecific in NMO, brain lesions do not preclude the diagnosis.9,24 CSF pleocytosis or neutrophilia and the occurrence of severe, fixed, attack-related motor weakness were also validated as characteristic features of NMO but with less diagnostic power. A history of systemic autoimmunity or presence of non-organ-specific autoantibodies was common in both NMO and MS but did not distinguish them. Our results provide quantitative data to support clinical NMO diagnostic criteria and are the first to incorporate the NMO-IgG biomarker.

Derivation or revision of valid diagnostic criteria in the absence of a pathologic or quantitative reference standard poses a difficult challenge. Ideally, the reference standard and the diagnostic test are evaluated in every subject independently and in blinded fashion. In our study, although we used 1999 Mayo Clinic NMO criteria as a guideline, we did not formally apply them to establish the reference standard. Therefore, we believe that our approach of evaluating each individual criterion and then constructing new combinations with optimal diagnostic properties is valid. The database allows maintenance of independence among the reference standard diagnosis, individual criteria, and the NMO-IgG result.

Our results highlight the difficulties inherent in using arbitrary and subjective clinical criteria for diagnostic purposes. The tradition of excluding NMO as a diagnostic possibility in a patient who has experienced any extra-optic-spinal neurologic symptoms is no longer valid. Continued use of this arbitrary requirement will undoubtedly provide a pure cohort but precludes a valid and complete assessment of the spectrum of NMO. The concept of pure NMO should be abandoned. Our data demonstrate that a wide variety of neurologic symptoms may preceede or accompany NMO and may or may not be associated with an identifiable CNS lesion.

The revisions we propose improve the diagnostic properties of NMO criteria. It is imperative, however, that individual components be ascertained appropriately. Brain MRI results at disease onset must be reviewed if follow-up scans reveal lesions that meet MS criteria. We used older (Paty) MRI diagnostic criteria for MS26 rather than those in current use25 for purposes of consistency. However, because newer criteria are designed to enhance specificity for MS, failure to meet the more sensitive Paty criteria26 should more likely yield a true negative result. The spinal cord MRI manifestation of a longitudinally extensive lesion is the single most useful diagnostic test but is also subject to timing issues, since a lengthy T2-weighted lesion may not have developed fully in the first few days after clinical symptom onset or it may have contracted or resolved with time. Some degree of redundancy and flexibility in the diagnostic criteria, such as the minimum requirement of only two of three supportive criteria, is therefore most practical for clinical use and we have demonstrated equivalent diagnostic properties with this model. The onset brain MRI and the initial spinal cord MRI are available after the presentation of the first myelitis event. Since diagnosis requires only two of three supportive criteria, access to NMO-IgG testing is not necessary to use this system.

Some of the difficulties noted above may be eliminated if additional biomarkers can be identified for NMO. The NMO-IgG autoantibody was 76% sensitive and 94% specific for a final clinical diagnosis of NMO. This is a powerful and clinically meaningful result since this cohort represents patients with optic-spinal disease, not other typical forms of MS, and the determination of whether a patient has NMO or MS may be difficult. The autoantigen to which NMO-IgG binds was recently shown to be aquaporin-4,14 the principal water channel involved in fluid homeostasis in the CNS.27 The involvement of aquaporin-4 in the pathogenesis of NMO has not yet been investigated. However, the specificity of the antibody as a marker for NMO and its immunoreactive sites in the spinal cord (abluminal surface of blood vessels and astrocytic foot processes),28 where pathology occurs in NMO,13 is consistent with it being a primary effector of disease rather than a secondary or nonspecific phenomenon.

We derived our data from a group of patients who had already experienced both optic neuritis and acute myelitis. However, the biomarker NMO-IgG is proving to be predictive of NMO development after a first event of longitudinally extensive idiopathic acute transverse myelitis.16 Thus our newly proposed criteria will likely require further revision to include disorders that represent inaugural symptoms of NMO or limited NMO variants, including recurrent myelitis associated with negative brain MRI, recur-
rent isolated optic neuritis, or isolated optic neuritis or myelitis presentations associated with NMO-IgG seropositivity. Use of these criteria, and future refinements that allow earlier diagnosis, is also of therapeutic importance. Although existing reports include only small open-label experience and no randomized controlled trials, the generally accepted approach for attack prevention in NMO is immunosuppression using therapies that reduce serum autoantibody levels\(^{6,29,30}\) rather than immunomodulation with currently approved MS therapies.\(^{31}\)

We believe that the revised diagnostic criteria we propose represent an important advance in NMO research and clinical practice. The criteria for definite NMO diagnosis are simple, practical, and have excellent diagnostic accuracy. They discriminate NMO from MS beginning with optic neuritis and myelitis, a scenario in which NMO is a reasonable initial diagnostic consideration. Further validation and refinement of these diagnostic criteria, application to individuals of different ethnic and racial backgrounds in different countries and clinical settings, and continued evaluation of NMO-IgG and future biomarkers are necessary next steps in advancing the diagnosis and reducing the morbidity and mortality of this often devastating disorder.

References

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